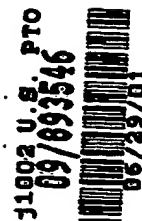


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jukka HENRIKSSON
Serial No.:
Filed: June 29, 2001
Title: DIVERSITY RECEIVER
Group:



LETTER CLAIMING RIGHT OF PRIORITY

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

June 29, 2001

Sir:

Under the provisions of 35 USC 119 and 37 CFR 1.55, the applicant(s) hereby claim(s) the right of priority based on Great Britain Patent Application No.(s) 0016239.6 filed June 30, 2000.

A certified copy of said Great Britain Application is attached.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

Robert M. Bauer
Registration No. 34,487

RMB/nac
Attachment
(703) 312-6600



INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ



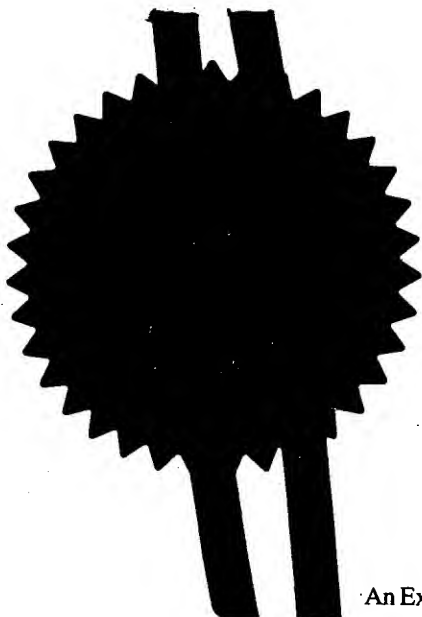
CERTIFIED COPY OF PRIORITY DOCUMENT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed 

Dated 13 June 2001

THIS PAGE BLANK (USPTO)

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)



The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH

1. Your reference

PAT 00105 08

2. Patent application number

(The Patent Office will fill in this part)

0016239.6

3. Full name, address and postcode of the or of each applicant (underline all surnames)

NOKIA OY
KEILALAHDENTIE 4
02150 ESPOO
FINLAND

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

FINLAND

7504434002

4. Title of the invention

DIVERSITY RECEIVER

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

NOKIA IPR DEPARTMENT
NOKIA HOUSE
SUMMIT AVENUE
FARNBOROUGH
HAMPSHIRE
GU14ONG UK

Patents ADP number (if you know it)

7577638001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number
(if you know it)

Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

- a) any applicant named in part 3 is not an inventor, or
 - b) there is an inventor who is not named as an applicant, or
 - c) any named applicant is a corporate body.
- See note (d))

YES

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description 8

Claim(s) 4

Abstract 1

Drawing(s) 2+2 *63*

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (*Patents Form 7/77*)

Request for preliminary examination and search (*Patents Form 9/77*) 1

Request for substantive examination (*Patents Form 10/77*)

Any other documents
(please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature *K. Jeffery*
KENDRA JEFFERY

Date 29/6/00

12. Name and daytime telephone number of person to contact in the United Kingdom Adam Franks 01252 865015

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- d) If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- e) Once you have filled in the form you must remember to sign and date it.
- f) For details of the fee and ways to pay please contact the Patent Office.

DIVERSITY RECEIVER

- 5 The present invention relates to receivers, and, more particularly, to improved diversity receivers.

Orthogonal frequency division multiplex (OFDM) transmission and reception is an established technique that is used in many types of broadcast systems.

- 10 OFDM has been adopted as the modulation method in a number of systems for terrestrial digital video broadcasting (DVB-T). The DVB-T specification (ETSI EN 300 744) provides further details of the transmission properties and is incorporated herein by reference.

- 15 OFDM is a way in which information is transmitted over a large number of separate frequency carriers. The information to be transmitted is split up, and a portion of the information is sent on each carrier. An OFDM receiver receives the portions of information from each of the carriers and recombines them to reproduce the original signal. OFDM signals have properties which
20 make them very resilient, particularly in poor channel environments. However, improvements are still sought which can further improve OFDM reception and transmission. This is particularly useful for mobile and portable receivers.

- In some environments, such as those subject to multipath reflection or
25 shadowing, some carriers may be received with low power. In these cases, diversity receivers may provide an improvement of around 3dB to 6dB, the latter figure referring to cases where the communication channel is under severe multipath fading and in mobile reception. A diversity receiver effectively comprises two or more separate receivers, or diversity branches,
30 each with its own antenna. Each set of received carriers from each diversity

branch is then combined using one of three common combining methods; maximal ratio combining (MRC), carrier selection (CS), or equal gain combining (EGC), in an attempt to produce a more robust set of carriers from which demodulation can take place.

5

Pure maximal ratio combining would be ideal if the noise level is the same in both diversity branches, or if it can be reliably determined. In addition, precise knowledge of the channel levels at each carrier is needed. In mobile terminals, the signal distortion is not only additive noise, but also from Doppler effects. A problem also exists in that estimating the power of low level carriers is less precise than for higher power carriers. Errors in power estimation degrades the performance of pure maximal ratio combining. Doppler effects are also more dominant with low power carriers.

15 Accordingly, one aim of the present invention is to provide an improved diversity receiver.

According to a first aspect of the present invention, there is provided a receiver for receiving a multi-carrier signal conveying data and reference
20 signals having a first and second diversity branch each operable to extract the data and reference signals from the multi-carrier signal, thereby producing a first and second set of extracted data and reference signals, comprising: a processor for determining an estimation of the reliability of an extracted reference signal from each set of extracted reference signals; a combiner for
25 combining a data signal from the first and second set of extracted data signals in accordance with the determined estimation.

According to a second aspect of the present invention, there is provided a method of receiving a multi-carrier signal conveying data and reference
30 signals at a receiver having a first and second diversity branch each operable

to extract the data and reference signals from the multi-carrier signal, thereby producing a first and second set of extracted data and reference signals, comprising: determining an estimation of the reliability of an extracted reference signal from each set of extracted reference signals; combining a data signal from the first and second set of extracted data signals in accordance with the determined estimation.

The present invention advantageously provides an improved way in which data signals from diversity branches may be combined. By filtering the extracted reference values, the effects of any erroneous reference values is reduced significantly. Additionally, combination of data signals is performed in a number of different ways depending on the estimated reliability of each carrier. In this way, erroneous or disturbed carriers do not unduly affect an unaffected carrier. Such a system is particularly advantageous to mobile receivers where degradations caused by Doppler effects are common. The present invention provides improved performance compared to diversity receivers of the prior art.

The invention will now be described, by way of example only, with reference to the accompanying diagrams, in which:

Figure 1 is a block diagram showing an example of a diversity receiver according to the prior art; and

Figure 2 is a block diagram of a diversity receiver according to a first embodiment according to the present invention.

Figure 1 is a block diagram showing an example of diversity receiver 100 according to the prior art. The diversity receiver 100 comprises two diversity branches 118 and 120, which, in effect, are two separate OFDM receivers. Since the branches 118 and 120 are functionally equivalent, only the branch 118 will be described below.

An OFDM signal 102, comprising pilot and data values is received by a fast Fourier transform (FFT) module 104, which de-maps the received pilot and data values to produce extracted pilot (or reference) and data values. A channel estimator 108 provides information regarding the amplitude and phase of the channel transfer function at each carrier location. The channel estimator may either provide this information solely for the location of the reference carriers, or may interpolate the results to provide the information for every carrier position.

A soft bit generator 106 generates soft bit data values from the extracted symbol data. Typically, a four-bit soft data value is generated for each extracted data value. The channel estimation provided by channel estimator 108 is fed to the soft bit generator 106 to correct for any channel distortion. This enables the soft bit generator 106 to provide more accurate soft data values.

The channel corrected soft data values are input, together with the soft data values generated by the diversity branch 120, to a summing or maximal ratio combining (MRC) module 110. The MRC module combines each of the soft data values from each of the diversity branches 118 and 120, in order to provide improved accuracy.

The combined data values are then de-interleaved by a de-interleaver 112 before being demodulated in a Viterbi decoder 114 to produce an output signal 116.

Figure 2 is a block diagram of a diversity receiver 200 according to a first embodiment according to the present invention. The diversity receiver 200 comprises two diversity branches 218 and 220. Since both of these diversity branches are equivalent, only the branch 218 will be described below.

An OFDM signal 202, comprising pilot and data values is received by a fast Fourier transform (FFT) module 204, which de-maps the received pilot and data values to produce extracted pilot (or reference) and data values. A channel estimator 208 uses the extracted pilot values to provide an estimation of the channel distortion. A soft bit generator 206 generates soft bit data values from the extracted symbol data. Typically a four-bit soft data value is generated for each extracted data value. The channel estimation provided by a channel estimator 208 is fed to the soft bit generator 206 to correct for any channel distortion. This enables the soft bit generator 206 to provide more accurate soft data values.

The channel estimator corrects the received reference values for any channel distortion and produces, by a process of interpolation, a reference value corresponding to each data value. Each interpolated reference value gives an indication of the channel characteristics of the corresponding data value.

The channel corrected reference values are output from the channel estimator 208 and are filtered by a filter 222. The filtered reference values are fed to a router 224 which decides whether to route one or both of the carrier values through to a combiner 210. The filter 222 and the router 224 are described in further detail below.

The combiner 210 combines the soft data values from both diversity branches 218 and 220 according to the carrier values received from the router 224.

The combined data values are then de-interleaved by a de-interleaver 212 before being demodulated in a Viterbi decoder 214 to produce an output signal 216. In an alternative embodiment, the soft bit generator 206 may be positioned after the combiner 210.

The filter 222 reduces the effects of occasional errors in channel estimates caused by distorted or corrupt pilot values by 'averaging' the value of each reference value over a number of reference values. Such occasional errors occur in many situations, especially with channel transfer functions having frequent up and down variations, for example channels subject to relatively long multipath delay differences. The filter effectively operates as a 'sliding window' over the reference values, smoothing out any occasional erroneous reference values.

10 In the filter 222, a credibility level cc_k is calculated for each carrier k having an amplitude c_k . In a preferred embodiment the credibility level is calculated as follows:

$$cc_k = \text{Median}(c_{k-m}, c_{k-m+1}, \dots, c_k, \dots, c_{k+m}) \quad \text{Equation (1)}$$

15

where c_{k-1} and c_{k+1} are the neighbouring carriers. These are complex values containing both amplitude and phase information. Additionally, a number m of carriers above and below the present carrier are also taken. Preferably m is a small number between one and seven and is preferably an odd number to simplify the process of finding the median value. Alternatively, an even number could be used, although this slightly increases the complexity of the filter. The effect of the filtering is to smooth out any anomalous values.

20

The filtered reference values are fed to the router 224, together with the corresponding filtered reference values from the other diversity branch 220.

25

The router 224 compares the credibility level for corresponding reference values from each of the diversity branches. Depending on the results of the comparison, the data values corresponding to each reference value from each

diversity branch are combined in the combiner 210 in one of a number of ways.

5 If the credibility level difference is above a given threshold T it is likely that that the branch with lower credibility level has been corrupted for example, due to Doppler shift during transmission. In this case, only the better of the two branches is routed to the combiner 210 and the combiner 210 just selects the signal with higher credibility level. The combiner 210 may also apply an additional weighting to the selected signal.

10

If the difference in credibility levels is below the threshold T it is likely that none of the current received reference values in either branch were significantly affected by interference during transmission. In this case, the signals from each diversity branch are routed to the combiner 210 and are
15 combined using a weighted average.

One way in which the weighted average may be calculated is as follows:

$$Average = \frac{c_k^{(1)} y_k^{(1)} + c_k^{(2)} y_k^{(2)}}{2} \quad \text{Equation (2)}$$

20 where $c_k^{(n)}$ is a reference value and $y_k^{(n)}$ is the soft bit data value, for a carrier k from a diversity branch n .

An alternative approach is to use just equal gain combining (EGC), for example using a weighting of 1 or 0.5 for both branches.

25

Therefore, where the difference in credibility level for a given carrier is small, then maximal ratio combining (MRC) or EGC of carriers from each diversity branch is performed in the combiner 210. If the difference in credibility level is

high, carrier selection is made and the combiner selects the strongest signal, which may additionally have weighting applied.

In an alternative embodiment, the filter 222 calculates the mean value of a number of reference values. In yet a further embodiment, the number m of reference values used in the filter 222 for calculating the credibility factor is varied dynamically in dependence on the characteristics of the channel conditions. Such characteristics can be obtained by the channel estimator 208. For example, in disturbed transmission channels, the number m of reference values used can be increased to spread the effect of any distorted reference values over a wide range. Alternatively, in a very clean channel, the number m of reference values can be reduced.

Those skilled in the art will also appreciate that other filtering operations could be performed by the filter 222, including both linear and non-linear filtering operations. The filter 222 could be implemented, for example, using a microprocessor, digital signal processor or other suitable processing means. Those skilled in the art will also appreciate that other weighting and combining schemes could be used, without detracting from the inventive concepts described herein. It will also be appreciated that, although the present invention is described with reference to OFDM and DVB-T technologies, the present invention could equally be applied to any discrete multi-tone or multi-carrier signals. Additionally, further diversity branches could be added and the results from each branch combined and filtered in accordance with the present invention as described above.

CLAIMS

1. A receiver for receiving a multi-carrier signal conveying data and reference signals having a first and second diversity branch each operable to extract the data and reference signals from the multi-carrier signal, thereby producing a first and second set of extracted data and reference signals, comprising:
 - a processor for determining an estimation of the reliability of an extracted reference signal from each set of extracted reference signals;
 - a combiner for combining a data signal from the first and second set of extracted data signals in accordance with the determined estimation.
2. A receiver according to claim 1, wherein the processor determines the estimation of the reliability of each set of extracted reference signals by a process of calculation.
3. A receiver according to claim 2, wherein the processor calculates the estimation using adjacent reference signals from each set of extracted reference signals.
4. A receiver according to claim 3, wherein the number of adjacent reference signals used in the calculation is between 1 and 7.
5. A receiver according to claim 2, 3 or 4, wherein the process of calculation determines the median of the reference signals.
6. A receiver according to claim 2, 3 or 4, wherein the process of calculation determines the mean of the reference signals.
7. A receiver according to any preceding claim, wherein the combiner applies a weighting to each extracted data signal prior to combining.

8. A receiver according to any preceding claim, wherein the combiner combines the first and second set of extracted data signals in a first manner when the difference between the determined reliability of each set of reference signals is above a predetermined threshold, and for combining the
- 5 first and second set of extracted data signals in a second manner when the determined reliability difference of each set of reference signals is below the predetermined threshold.
9. A receiver according to claim 8, wherein the first manner of combining is a
- 10 maximal ratio combining (MRC).
10. A receiver according to claim 8, wherein the first manner of combining is an equal gain combining (EGC).
- 15 11. A receiver according to claim 8, 9 or 10, wherein the second manner of combining is by signal selection.
12. A receiver according to any of claims 1 to 11, wherein the processor is a filter.
- 20 13. A receiver according to any preceding claim, comprising at least three diversity branches.
14. A receiver according to any preceding claim, adapted to receive
- 25 orthogonal frequency division multiplex (OFDM) signals.
15. A method of receiving a multi-carrier signal conveying data and reference signals at a receiver having a first and second diversity branch each operable to extract the data and reference signals from the multi-carrier signal, thereby

producing a first and second set of extracted data and reference signals, comprising:

determining an estimation of the reliability of an extracted reference signal from each set of extracted reference signals;

5 combining a data signal from the first and second set of extracted data signals in accordance with the determined estimation.

16. A method according to claim 15, wherein the step of determining an estimation comprises determining the estimation by a process of calculation.

10

17. A method according to claim 16, wherein the step of determining further comprises calculating the estimation using adjacent reference signals from each set of extracted reference signals.

15 18. A method according to claim 17, wherein the step of determining comprises calculating the estimation using between 1 and 7 adjacent reference signals.

19. A method according to claim 17 or 18, wherein the step of determining
20 comprises determining the median of the reference signals.

20. A method according to claim 17 or 18 ,wherein the step of determining comprises determining the mean of the reference signals.

25 21. A method according to any of claims 15 to 20, further comprising applying a weighting to each extracted data signal prior to combining.

22. A method according to any of claims 15 to 21, wherein the step of combining combines the first and second set of extracted data signals in a
30 first manner when the difference between the determined reliability of each

set of reference signals is above a predetermined threshold, and for combining the first and second set of extracted data signals in a second manner when the determined reliability difference of each set of reference signals is below the predetermined threshold.

5

23. A method according to claim 22, wherein the first manner of combining performs a maximal ratio combining (MRC).

10

24. A method according to claim 22, wherein the first manner of combining performs an equal gain combining (EGC).

25. A method according to claim 22, 23 or 24, wherein the second manner of combining performs a signal selection.

15

26. A method according to any of claims 15 to 25, wherein the step of determining further comprises filtering the extracted reference signals.

27. A method according to any of claims 15 to 26, for receiving orthogonal frequency division multiplex (OFDM) signals.

20

28. A receiver substantially as herein described with reference to the accompanying drawings.

29. A method of receiving a multi-carrier signal substantially as herein described with reference to the accompanying drawings.

25

ABSTRACT

The present invention relates to receivers, and, more particularly, to improved diversity receivers.

5

In some environments, such as those subject to multipath reflection or shadowing, some carriers may be received with low power. In these cases, diversity receivers may provide an improvement of around 3dB to 6dB, the latter figure referring to cases where the communication channel is under
10 severe multipath fading and in mobile reception. A diversity receiver effectively comprises two or more separate receivers, or diversity branches, each with its own antenna. Each set of received carriers from each diversity branch is then combined

15 The present invention provides an improved method and apparatus for receiving multi-carrier signals.

Figure 2

THIS PAGE BLANK (USPTO)

1/2

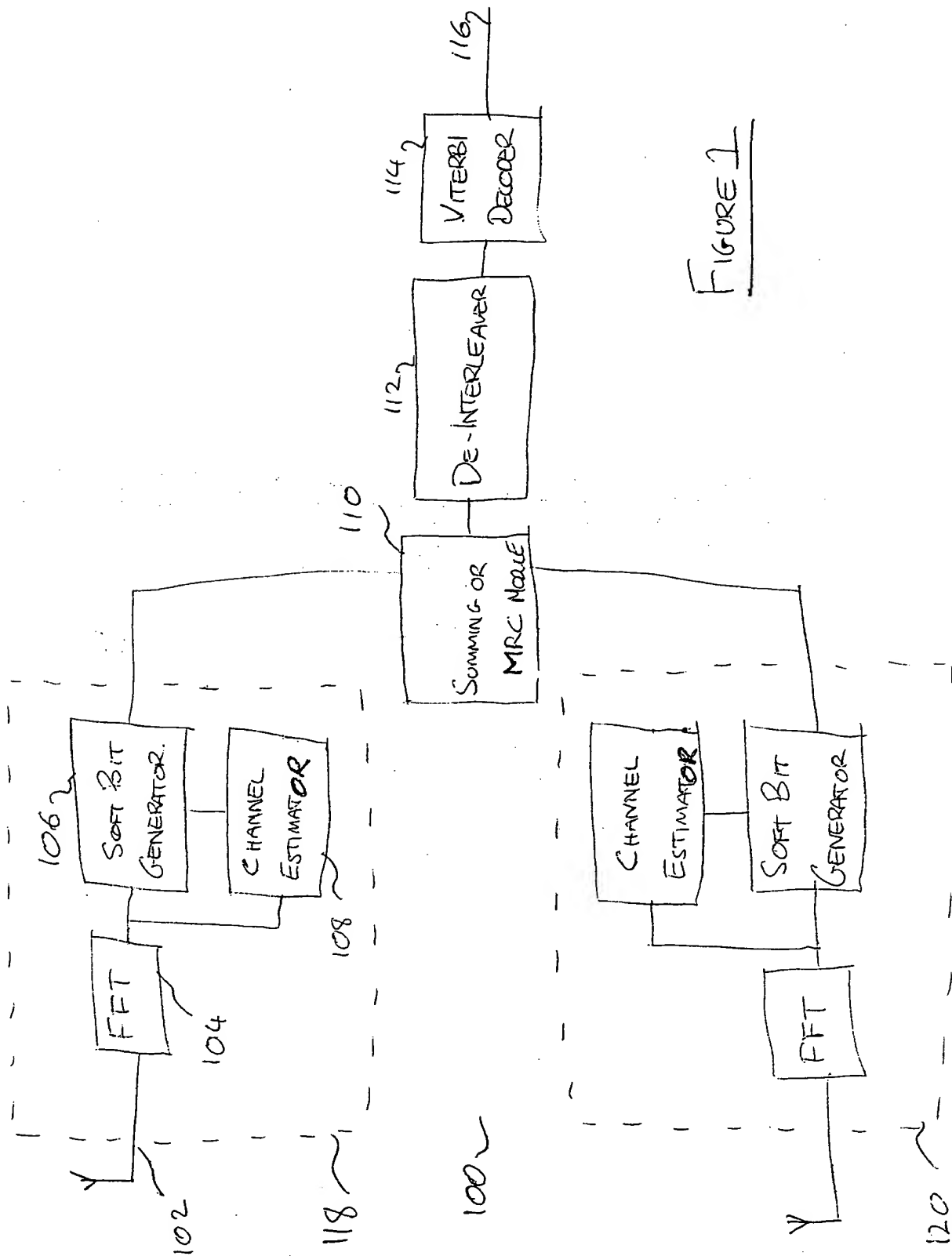
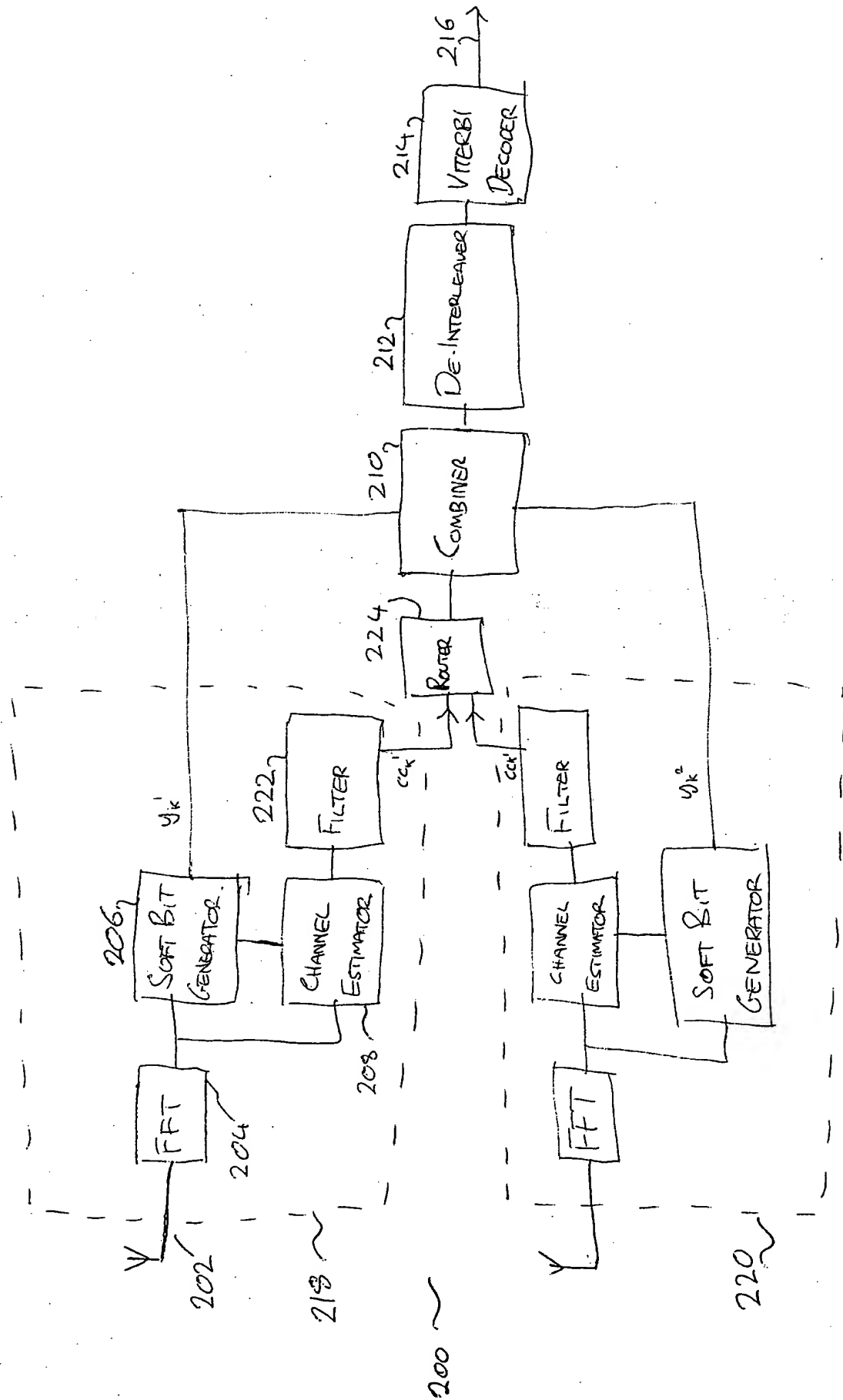


FIGURE 1

THIS PAGE BLANK (USPTO)

FIGURE 2



THIS PAGE BLANK (USPTO)